

The JS-44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for use of the Clerk of Court for the purpose of initiating the civil docket sheet.

**PLAINTIFFS**  
QS Holdco Inc.

**DEFENDANTS**  
Bank of America Corporation, et al. (See Attachment for full list of Defendants)

**ATTORNEYS (FIRM NAME, ADDRESS, AND TELEPHONE NUMBER)**  
Wollmuth Maher & Deutsch LLP, 500 Fifth Avenue, New York, New York  
10110, (212) 382-3300

**ATTORNEYS (IF KNOWN)**  
David H. Wollmuth, Thomas P. Ogden, Ronald J. Aranoff, James J. Brennan,  
Fletcher W. Strong

(See Attachment for full list of counsel for Plaintiffs)

**CAUSE OF ACTION (CITE THE U.S. CIVIL STATUTE UNDER WHICH YOU ARE FILING AND WRITE A BRIEF STATEMENT OF CAUSE)**  
(DO NOT CITE JURISDICTIONAL STATUTES UNLESS DIVERSITY)

15 U.S.C. § 1 - Defendants conspired to restrict trade in the stock lending market in violation of 15 U.S.C. § 1.

Has this action, case, or proceeding, or one essentially the same been previously filed in SDNY at any time? No  Yes  Judge Previously Assigned

If yes, was this case Vol.  Invol.  Dismissed. No  Yes  If yes, give date \_\_\_\_\_ & Case No. \_\_\_\_\_

**IS THIS AN INTERNATIONAL ARBITRATION CASE?**

No  Yes

(PLACE AN [x] IN ONE BOX ONLY)

**NATURE OF SUIT**

		TORTS	ACTIONS UNDER STATUTES			
<b>CONTRACT</b>	<b>PERSONAL INJURY</b>	<b>PERSONAL INJURY</b>	<b>FORFEITURE/PENALTY</b>	<b>BANKRUPTCY</b>	<b>OTHER STATUTES</b>	
[ ] 110 INSURANCE	[ ] 310 AIRPLANE	[ ] 367 HEALTHCARE/	[ ] 625 DRUG RELATED	[ ] 422 APPEAL	[ ] 375 FALSE CLAIMS	
[ ] 120 MARINE	[ ] 315 AIRPLANE PRODUCT	PHARMACEUTICAL PERSONAL	SEIZURE OF PROPERTY	28 USC 158	[ ] 376 QUI TAM	
[ ] 130 MILLER ACT	LIABILITY	[ ] 365 PERSONAL INJURY	21 USC 881	[ ] 423 WITHDRAWAL	[ ] 400 STATE	
[ ] 140 NEGOTIABLE INSTRUMENT	[ ] 320 ASSAULT, LIBEL & SLANDER	PRODUCT LIABILITY	[ ] 690 OTHER	28 USC 157	REAPPORTIONMENT	
[ ] 150 RECOVERY OF OVERPAYMENT & ENFORCEMENT OF JUDGMENT	[ ] 330 FEDERAL EMPLOYERS' LIABILITY	ASBESTOS PERSONAL INJURY PRODUCT LIABILITY	<b>PROPERTY RIGHTS</b>		[x] 410 ANTITRUST	
[ ] 151 MEDICARE ACT	[ ] 340 MARINE	<b>PERSONAL PROPERTY</b>	[ ] 820 COPYRIGHTS	[ ] 430 BANKS & BANKING		
[ ] 152 RECOVERY OF DEFECTED STUDENT LOANS (EXCL VETERANS)	[ ] 345 MARINE PRODUCT LIABILITY	[ ] 370 OTHER FRAUD	[ ] 830 PATENT	[ ] 450 COMMERCE		
	[ ] 350 MOTOR VEHICLE	[ ] 371 TRUTH IN LENDING	[ ] 835 PATENT-ABBREVIATED NEW DRUG APPLICATION	[ ] 460 DEPORTATION		
	[ ] 355 MOTOR VEHICLE PRODUCT LIABILITY		[ ] 840 TRADEMARK	[ ] 470 RACKETEER INFLUENCED & CORRUPT ORGANIZATION ACT (RICO)		
[ ] 153 RECOVERY OF OVERPAYMENT OF VETERAN'S BENEFITS	[ ] 360 OTHER PERSONAL INJURY	[ ] 380 OTHER PERSONAL PROPERTY DAMAGE		[ ] 480 CONSUMER CREDIT		
	[ ] 362 PERSONAL INJURY - MED MALPRACTICE	[ ] 385 PROPERTY DAMAGE	<b>SOCIAL SECURITY</b>	[ ] 490 CABLE/SATELLITE TV		
		PRODUCT LIABILITY	[ ] 710 FAIR LABOR STANDARDS ACT			
[ ] 160 STOCKHOLDERS SUITS			[ ] 720 LABOR/MGMT RELATIONS			
[ ] 190 OTHER CONTRACT		<b>PRISONER PETITIONS</b>	[ ] 740 RAILWAY LABOR ACT	[ ] 850 SECURITIES/ COMMODITIES/ EXCHANGE		
[ ] 195 CONTRACT PRODUCT LIABILITY		[ ] 463 ALIEN DETAINEE	[ ] 751 FAMILY MEDICAL LEAVE ACT (FMLA)	[ ] 890 OTHER STATUTORY ACTIONS		
[ ] 196 FRANCHISE	<b>ACTIONS UNDER STATUTES</b>	[ ] 510 MOTIONS TO VACATE SENTENCE	[ ] 790 OTHER LABOR LITIGATION	[ ] 891 AGRICULTURAL ACTS		
	<b>CIVIL RIGHTS</b>	28 USC 2255	[ ] 791 EMPL RET INC SECURITY ACT (ERISA)			
		[ ] 530 HABEAS CORPUS		[ ] 870 TAXES (U.S. Plaintiff or Defendant)		
		[ ] 535 DEATH PENALTY		[ ] 871 IRS-THIRD PARTY		
		[ ] 540 MANDAMUS & OTHER	26 USC 7609			
<b>REAL PROPERTY</b>						
[ ] 210 LAND CONDEMNATION	[ ] 441 VOTING	<b>IMMIGRATION</b>				
[ ] 220 FORECLOSURE	[ ] 442 EMPLOYMENT	<b>PRISONER CIVIL RIGHTS</b>	[ ] 462 NATURALIZATION	[ ] 893 ENVIRONMENTAL MATTERS		
[ ] 230 RENT LEASE & EJECTMENT	[ ] 443 HOUSING/ ACCOMMODATIONS	[ ] 550 CIVIL RIGHTS	APPLICATION	[ ] 895 FREEDOM OF INFORMATION ACT		
[ ] 240 TORTS TO LAND	[ ] 445 AMERICANS WITH DISABILITIES - EMPLOYMENT	[ ] 555 PRISON CONDITION	[ ] 465 OTHER IMMIGRATION ACTIONS	[ ] 896 ARBITRATION		
[ ] 245 TORT PRODUCT LIABILITY	[ ] 446 AMERICANS WITH DISABILITIES - OTHER	[ ] 560 CIVIL DETAINEE CONDITIONS OF CONFINEMENT		[ ] 899 ADMINISTRATIVE PROCEDURE ACT/REVIEW OR APPEAL OF AGENCY DECISION		
[ ] 290 ALL OTHER REAL PROPERTY	[ ] 448 EDUCATION			[ ] 950 CONSTITUTIONALITY OF STATE STATUTES		

Check if demanded in complaint:

CHECK IF THIS IS A CLASS ACTION  
UNDER F.R.C.P. 23

DO YOU CLAIM THIS CASE IS RELATED TO A CIVIL CASE NOW PENDING IN S.D.N.Y.  
AS DEFINED BY LOCAL RULE FOR DIVISION OF BUSINESS 13?

IF SO, STATE:

DEMAND \$ \_\_\_\_\_ OTHER \_\_\_\_\_ JUDGE Katherine Polk Failla DOCKET NUMBER 17 Civ. 6221

Check YES only if demanded in complaint  
JURY DEMAND:  YES  NO

NOTE: You must also submit at the time of filing the Statement of Relatedness form (Form IH-32).

(PLACE AN x IN ONE BOX ONLY)

<input checked="" type="checkbox"/> 1 Original Proceeding	<input type="checkbox"/> 2 Removed from State Court	<input type="checkbox"/> 3 Remanded from Appellate Court	<input type="checkbox"/> 4 Reinstated or Reopened	<input type="checkbox"/> 5 Transferred from (Specify District)	<input type="checkbox"/> 6 Multidistrict Litigation (Transferred)	<input type="checkbox"/> 7 Appeal to District Judge from Magistrate Judge
<input type="checkbox"/> a. all parties represented						<input type="checkbox"/> 8 Multidistrict Litigation (Direct File)
<input type="checkbox"/> b. At least one party is pro se.						

(PLACE AN x IN ONE BOX ONLY)

<input type="checkbox"/> 1 U.S. PLAINTIFF	<input type="checkbox"/> 2 U.S. DEFENDANT	<input checked="" type="checkbox"/> 3 FEDERAL QUESTION	<input type="checkbox"/> 4 DIVERSITY (U.S. NOT A PARTY)
-------------------------------------------	-------------------------------------------	--------------------------------------------------------	------------------------------------------------------------

**IF DIVERSITY, INDICATE CITIZENSHIP BELOW.****CITIZENSHIP OF PRINCIPAL PARTIES (FOR DIVERSITY CASES ONLY)**

(Place an [X] in one box for Plaintiff and one box for Defendant)

CITIZEN OF THIS STATE	PTF [ ] 1	DEF [ ] 1	CITIZEN OR SUBJECT OF A FOREIGN COUNTRY	PTF DEF [ ] 3 [ ] 3	INCORPORATED and PRINCIPAL PLACE OF BUSINESS IN ANOTHER STATE	PTF [ ] 5	DEF [ ] 5
CITIZEN OF ANOTHER STATE	[ ] 2	[ ] 2	INCORPORATED or PRINCIPAL PLACE OF BUSINESS IN THIS STATE	[ ] 4 [ ] 4	FOREIGN NATION	[ ] 6	[ ] 6

**PLAINTIFF(S) ADDRESS(ES) AND COUNTY(IES)**

See Attachment for full list of Plaintiffs and addresses/counties

**DEFENDANT(S) ADDRESS(ES) AND COUNTY(IES)**

See Attachment for full list of Defendants and addresses/counties

**DEFENDANT(S) ADDRESS UNKNOWN**

REPRESENTATION IS HEREBY MADE THAT, AT THIS TIME, I HAVE BEEN UNABLE, WITH REASONABLE DILIGENCE, TO ASCERTAIN THE RESIDENCE ADDRESSES OF THE FOLLOWING DEFENDANTS:

**COURTHOUSE ASSIGNMENT**

I hereby certify that this case should be assigned to the courthouse indicated below pursuant to Local Rule for Division of Business 18, 20 or 21.

Check one: THIS ACTION SHOULD BE ASSIGNED TO:  WHITE PLAINS  MANHATTAN

DATE 1/30/2018 SIGNATURE OF ATTORNEY OF RECORD

RECEIPT # /s/ Thomas P. Ogden

ADMITTED TO PRACTICE IN THIS DISTRICT

[ ] NO

[X] YES (DATE ADMITTED Mo. January Yr. 1986)  
Attorney Bar Code #

Magistrate Judge is to be designated by the Clerk of the Court.

Magistrate Judge \_\_\_\_\_ is so Designated.

Ruby J. Krajick, Clerk of Court by \_\_\_\_\_ Deputy Clerk, DATED \_\_\_\_\_.

UNITED STATES DISTRICT COURT (NEW YORK SOUTHERN)

**Clear Form****Save****Print**

**ATTACHMENT TO CIVIL COVER SHEET**

**ATTORNEYS FOR PLAINTIFFS**

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**PLAINTIFF**

1. QS HOLDCO INC., 2624 Patriot Blvd., Glenview, IL 60026 (Cook County)

**DEFENDANTS**

1. BANK OF AMERICA CORPORATION, 100 North Tryon Street, Charlotte, NC 28255 (Mecklenburg County)
2. MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED, One Bryant Park, New York, NY 10036 (New York County)
3. MERRILL LYNCH L.P. HOLDINGS, INC., 4 World Financial Center, New York, NY 10080 (New York County)
4. CREDIT SUISSE AG, 11 Madison Avenue, New York, NY 10010 (New York County)
5. CREDIT SUISSE GROUP AG, Paradeplatz 8, CH 8070 Zürich, Switzerland
6. CREDIT SUISSE SECURITIES (USA) LLC, 11 Madison Avenue, New York, NY 10010 (New York County)
7. CREDIT SUISSE FIRST BOSTON NEXT FUND, INC., 11 Madison Avenue, New York, NY 10010 (New York County)

8. THE GOLDMAN SACHS GROUP, INC., 200 West Street, New York, NY 10282 (New York County)
9. GOLDMAN, SACHS & CO. LLC, 200 West Street, New York, NY 10282 (New York County)
10. GOLDMAN SACHS EXECUTION & CLEARING, L.P., 200 West Street, New York, NY 10282 (New York County)
11. J.P. MORGAN CHASE & CO., 270 Park Avenue, New York, NY 10017 (New York County)
12. J.P. MORGAN SECURITIES LLC, 383 Madison Ave, New York, NY 10179 (New York County)
13. J.P. MORGAN PRIME, INC., 383 Madison Ave, New York, NY 10179 (New York County)
14. J.P. MORGAN STRATEGIC SECURITIES LENDING CORP., 383 Madison Ave, New York, NY 10179 (New York County)
15. J.P. MORGAN CHASE BANK, N.A., 270 Park Avenue, New York, NY 10017 (New York County)
16. MORGAN STANLEY, 1585 Broadway, New York, NY 10036 (New York County)
17. MORGAN STANLEY CAPITAL MANAGEMENT, LLC, 1221 Avenue of the Americas, New York, NY 10020 (New York County)
18. MORGAN STANLEY & CO. LLC, 1585 Broadway, New York, NY 10036 (New York County)
19. PRIME DEALER SERVICES CORP., 1585 Broadway, New York, NY 10036 (New York County)
20. STRATEGIC INVESTMENTS I, INC., 146 Buckingham Palace Road, London SW1W9TR, United Kingdom
21. UBS GROUP AG, Bahnhofstrasse 45, 8001 Zürich, Switzerland
22. UBS AG, 787 Seventh Avenue, New York, NY 10019 (New York County)
23. UBS AMERICAS INC., 1251 6th Avenue, New York, NY 10020 (New York County)
24. UBS SECURITIES LLC, 677 Washington Boulevard, Stamford, CT 06901 (Fairfield County)

25. UBS FINANCIAL SERVICES INC., 677 Washington Boulevard, Stamford, CT 06901 (Fairfield County)
26. EQUILEND LLC, 225 Liberty Street, Suite 1020, New York, NY 10281 (New York County)
27. EQUILEND EUROPE LIMITED, One Canada Square, 36th Floor, London E14 5AA, United Kingdom
28. EQUILEND HOLDINGS LLC, 225 Liberty Street, Suite 1020, New York, NY 10281 (New York County)